

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11 (c))*.

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instruction for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instruction for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, Deutsche Bank AG and all its branches and the subsidiaries listed in the attachment, hereby certify as follows:

B. Correspondent Accounts Covered by this Certification:

- This Certification applies to **all** accounts established for Deutsche Bank AG and its branches and listed subsidiaries by Covered Financial Institutions.

- This Certification applies to Correspondent Accounts established by _____ (name of Covered Financial Institution(s)) for Foreign Bank.

*A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institutions or regional development bank formed by treaty or international agreement.

C. Physical Presence/Regulated Affiliate Status:

- Deutsche Bank AG entities maintain a **physical presence** and are regulated affiliates. That means that each of the Deutsche Bank AG branches and listed subsidiaries:
- Has a place of business at the following street address: [see attachment], where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
 - is authorized to conduct banking activities in the country where its place of business is located
 - is subject to inspection by the [see attachment], the banking authority that licensed the particular Deutsche Bank entity to conduct banking activities; and
 - is a branch or subsidiary of Deutsche Bank AG that maintains a physical presence at the following street address: Taunusanlage 12, 60325 Frankfurt am Main, Germany where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities, and where it is authorized to conduct banking activities.
 - is subject to supervision by the German Federal Financial Supervisory Authority / Bundesanstalt für Finanzdienstleistungsaufsicht (BAFin), the same banking authority that regulates Deutsche Bank AG.
- Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

D. Indirect Use of Correspondent Accounts:

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Deutsche Bank AG and its branches and listed subsidiaries hereby certify that they do **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information:

- Deutsche Bank AG's shares are publicly traded. The subsidiaries listed are majority owned by Deutsche Bank AG.

Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

F. Process Agent:

The following individual or entity: Deutsche Bank AG, NY Branch, Attn. Yvonne Falconer is a resident of the United States at the following street address: Legal Department, 60 Wall Street, New York, NY 10005, USA and is authorized to accept service of legal process on behalf of Deutsche Bank AG and its branches and listed subsidiaries from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

G. General

Deutsche Bank AG entities hereby agree to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Deutsche Bank AG entities understand that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Deutsche Bank AG entities further understand that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Andreas Born, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Deutsche Bank AG and its branches and listed subsidiaries.



Andreas Born
[Printed Name]

Global Head of Anti-Money Laundering & Embargo Officer
[Title]

Executed on this **1st day of February, 2007.**

Received and reviewed by:

Name: _____
Title: _____
For: _____
[Name of Covered Financial Institution]

Date: _____