

Counterparty Name \_\_\_\_\_

Address \_\_\_\_\_

Deutsche Bank AG  
[df.segia@db.com](mailto:df.segia@db.com)

Date \_\_\_\_\_

**Re: Collateral Contact Information For Purposes of CFTC Regulation 23.701**

Dear Sir or Madam:

The following contact information is being provided for purposes of your delivery of notices pursuant to CFTC Regulation 23.701 (“**CFTC IM Segregation Right Notices**”). CFTC IM Segregation Right Notices for Counterparty may be provided to the person identified below (the “**Collateral Contact**”) using the following contact information.<sup>1</sup>

**I. Collateral Contact Type<sup>2</sup> (please select one)<sup>3</sup>**

- Officer responsible for the management of collateral
- Chief Risk Officer of the Counterparty
- Chief Executive Officer of the Counterparty
- Highest-level decision-maker for the Counterparty

**II. Collateral Contact Name:** \_\_\_\_\_

**III. Collateral Contact E-mail Address:** \_\_\_\_\_

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<sup>1</sup> Market participants should note that Collateral Contact information should be updated as appropriate to avoid potential trading disruptions in future years. See CFTC Regulation 23.701(e) (requiring notice at least once per calendar year).

<sup>2</sup> CFTC Regulation 23.701(c) states that the notification required pursuant to CFTC Regulation 23.701(a) must be made to an officer of the counterparty responsible for the management of collateral if such an officer has been identified to the swap dealer or major swap participant. If no such person has been identified by the Counterparty, then the notification must be made to (*persons using this form should select accordingly*):

- the Chief Risk Officer of the counterparty;
- or if there is no such officer, the Chief Executive Officer; or
- if none, the highest-level decision-maker for the counterparty.

<sup>3</sup> Please note that while only one “Collateral Contact Type” should be selected, market participants may provide information for more than one Collateral Contact.

**IV. Collateral Contact Mailing Address:**

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**V. Collateral Contact Telephone/Fax Numbers:**

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**VI. Consent to Delivery of Notice via ISDA Amend**

- By checking this box, we hereby agree to receive CFTC IM Segregation Right Notices from you via posting on ISDA Amend and notification of our Collateral Contact of the posting by email at the address provided herein. We understand that we must be a user of ISDA Amend to access CFTC IM Segregation Right Notices in this manner, and that access requires a username and password (available at no cost).

By executing this letter, we hereby represent that (i) we have all power and necessary authority to execute and deliver this letter on behalf of Counterparty and (ii) the Collateral Contact identified in this letter is the appropriate person to receive notices for the Counterparty pursuant to CFTC Regulation 23.701.

Kind regards,

Counterparty Name<sup>4</sup> \_\_\_\_\_

Counterparty LEI \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Employer: \_\_\_\_\_

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<sup>4</sup> If this letter is being executed and delivered by an agent on behalf of one or more Counterparties, the agent should insert “as agent for [name of account, client, fund, investor and/or other principal][the accounts, clients, funds, investors and/or other principals named on the attached sheet]”. If the agent is acting on behalf of more than one Counterparty, (i) it may list the names (and LEIs) of such Counterparties on a separate sheet and (ii) this letter should be treated as if it were a separate letter with respect to each Counterparty listed on such sheet.